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Filing Form ADV is mandatory for advisers who are required to register with the SEC and for exempt reporting advisers. The SEC maintains the information submitted on this form and makes it publicly available. The SEC may return forms that do not include required information.

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Application form ADV1: registration of a person in adverse possession. 25 May 2018. We added a guidance note about our personal information charter, which explains how we process customer data.

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Additional information about Wealthfront Advisers' products, structure and directors is provided on Part 1 of Wealthfront Advisers' Form ADV which is available online at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) or at [www.wealthfront.com](http://www.wealthfront.com) .

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PART 1A WARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments.

Form ADV (Paper Version) - SEC.gov

History. Wealthfront was founded by Benchmark co-founder Andy Rachleff and Dan Carroll in 2008 as kaChing, a mutual fund analysis

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company, before pivoting into wealth management. Rachleff was the firm's founding CEO. In December 2012, the firm started tax-loss harvesting for accounts of more than \$100,000.. The company started 2013 with \$97 million in assets under management and grew by 450% ...

### Wealthfront - Wikipedia

Form ADV is the uniform form used by investment advisers to register with both the Securities and Exchange Commission (SEC) and state securities authorities. The form consists of two parts. Part 1 requires



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Form ADV includes two parts, both of which provide detailed information about the firm. Part I is a fill-in-the-blank form, and Part II is a brochure written in prose. The first part contains basic facts about the firm, like its fees, client types, assets under management and any disclosures.

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